

Notification of Data Security Incident

3/6/2023 – On December 13, 2022, dp Brokerage, Inc. (“dp Brokerage”) discovered suspicious activity related to one employee email account. Upon discovery, dp Brokerage immediately took steps to secure its email system and network, and promptly began an investigation, aided by third-party forensic specialists, to confirm the nature and scope of the incident. The investigation determined that the email account was subject to access by an unauthorized third party.

Following a thorough analysis, it was determined that information contained in the affected email account may have included personally identifiable information (“PII”). The type of information contained within the affected data includes first and last names, in combination with one or more of the following: date of birth, Social Security number, driver’s license number or State ID number, financial account information, payment card information, health insurance information, medical information, prescription information, medical provider information, MRN or patient ID, Medicare or Medicaid number, and/or full access credentials to various third party websites and accounts.

At this time, dp Brokerage is not aware of any evidence to suggest that any information has been fraudulently misused. However, dp Brokerage was unable to rule out the possibility that the information may have been accessed during the period of compromise. Therefore, out of an abundance of caution, dp Brokerage is notifying potentially impacted individuals of this incident.

In response to this incident, dp Brokerage has partnered with third-party forensic specialists to fully investigate the nature and scope of this matter, and to evaluate and reinforce existing security measures and facilities within the network to ensure optimal data security. Although dp Brokerage has no reason to believe any individual’s information has been or will be misused as a result of this incident, individuals are nonetheless encouraged to monitor their credit reports and account statements for suspicious activity and to detect errors.

dp Brokerage has established a toll-free number to answer questions about the incident and to address related concerns. The number to call is (866) 674-8039, Monday through Friday from 8:00 a.m. to 5:30 p.m. Central Time, excluding major U.S. holidays.

dp Brokerage takes the privacy and security of the information in its care seriously, and sincerely regrets any worry or inconvenience this incident may have caused.

What steps can I take to protect my private information?

- If you detect suspicious activity on any of your accounts, you should promptly notify the financial institution or company with which the account is maintained. You should also report any fraudulent activity or any suspected incidents of identity theft to law enforcement.
- You may obtain a copy of your credit report at no cost from each of the three nationwide credit reporting agencies. To do so, visit www.annualcreditreport.com or call toll free at 1-877-322-8228. Contact information for the three agencies appears at the bottom of this page.

- You can take steps recommended by the Federal Trade Commission to protect yourself from identify theft. The FTC’s website offers helpful information at www.ftc.gov/idtheft.

Was my information specifically impacted?

dp Brokerage has no evidence to suggest that any information was subject to actual misuse. Upon learning of the potential unauthorized access, we performed a thorough review to determine the types of information that may have been present within the affected data. We are providing notification in an abundance of caution.

How do I obtain a copy of my credit report?

You can obtain a copy of your credit report, free of charge, directly from each of the three nationwide credit reporting agencies once every twelve (12) months. To do so, please visit www.annualcreditreport.com or call toll free at 1-877-322-8228. Contact information for the three agencies is included in the notification letter and is also listed at the bottom of this page.

How do I put a fraud alert on my account?

A fraud alert informs creditors of possible fraudulent activity within your report and requests that creditors contact you prior to establishing any accounts in your name. To place a fraud alert on your credit report, contact Equifax, Experian or TransUnion and follow the Fraud Victims instructions. To place a fraud alert on your credit accounts, contact your financial institution or credit provider. Contact information for the three nationwide credit reporting agencies is listed below.

Contact information for the three nationwide credit reporting agencies is as follows:

<p>TransUnion 1-800-680-7289 www.transunion.com</p>	<p>Experian 1-888-397-3742 www.experian.com</p>	<p>Equifax 1-888-298-0045 www.equifax.com</p>
<p>TransUnion Fraud Alert P.O. Box 2000 Chester, PA 19016-2000</p>	<p>Experian Fraud Alert P.O. Box 9554 Allen, TX 75013</p>	<p>Equifax Fraud Alert P.O. Box 105069 Atlanta, GA 30348-5069</p>
<p>TransUnion Credit Freeze P.O. Box 160 Woodlyn, PA 19094</p>	<p>Experian Credit Freeze P.O. Box 9554 Allen, TX 75013</p>	<p>Equifax Credit Freeze P.O. Box 105788 Atlanta, GA 30348-5788</p>

Additional Information

You can further educate yourself regarding identity theft, fraud alerts, credit freezes, and the steps you can take to protect your personal information by contacting the credit reporting bureaus, the

Federal Trade Commission (FTC), or your state Attorney General. The FTC also encourages those who discover that their information has been misused to file a complaint with them. The FTC may be reached at 600 Pennsylvania Ave. NW, Washington, D.C. 20580; www.identitytheft.gov; 1-877-ID-THEFT (1-877-438-4338); and TTY: 1-866-653-4261.

You have the right to file a police report if you ever experience identity theft or fraud. Please note that in order to file a report with law enforcement for identity theft, you will likely need to provide some proof that you have been a victim. Instances of known or suspected identity theft should also be reported to law enforcement, your state Attorney General, and the FTC. This notice has not been delayed by law enforcement.

For District of Columbia residents, the District of Columbia Attorney General may be contacted at: 400 6th St. NW Washington, D.C. 20001; 202-727-3400; and oag@dc.gov. dp Brokerage, Inc. may be contacted at 3112 St. Johns Bluff Road South, Jacksonville, Florida 32246.

For Maryland residents, the Maryland Attorney General may be contacted at: 200 St. Paul Place, 16th Floor, Baltimore, MD 21202; 1-410-528-8662 or 1-888-743-0023; and www.oag.state.md.us. dp Brokerage, Inc. may be contacted at 3112 St. Johns Bluff Road South, Jacksonville, Florida 32246.

For New York residents, the New York Attorney General may be contacted at: Office of the Attorney General, The Capitol, Albany, NY 12224-0341; 1-800-771-7755; or <https://ag.ny.gov/>.

For North Carolina residents, the North Carolina Attorney General may be contacted at: 9001 Mail Service Center, Raleigh, NC 27699-9001; 1-877-566-7226 or 1-919-716-6000; and www.ncdoj.gov.